



ARIZONA DEPARTMENT OF ECONOMIC SECURITY

1789 W. Jefferson - P.O. Box 6123 - Phoenix, AZ 85005

Janet Napolitano
Governor

Tracy L. Wareing
Director

The Honorable Jan Brewer
Secretary of State
State Capitol, West Wing, 7th Floor
Phoenix, Arizona 85007

Dear Ms. Brewer:

In compliance with ARS 11-952, enclosed is an original Intergovernmental Agreement between Arizona Department of Economic Security and the noted Arizona Department of Health Services (amendment #2).

Sincerely,

Katherine Babonis 805Z
Chief Procurement Officer
Division of Business and Finance

DES Contract No. DE070206001

Original SS No. 28750

Original File Date 3/1/2007

Date of This Filing 12/19/2008

Expiration Date 6/30/2009

NO. 28750
Filed with the Secretary of State
Date Filed: 12-19-08

Secretary of State

By:

**ARIZONA DEPARTMENT OF ECONOMIC SECURITY
INTERGOVERNMENTAL AGREEMENT
CONTRACT AMENDMENT**

1. CONTRACTOR (Name and address) Arizona DEPARTMENT OF HEALTH SERVICES - Division of Behavioral Health Services 1740 W Adams St Rm 303 Phoenix, AZ 85007	2. CONTRACT ID NUMBER DE070206-001 HG732143
	3. AMENDMENT NUMBER 2

4. THE PARTIES AGREE TO THE FOLLOWING AMENDMENT:

Pursuant to the TERMS AND CONDITIONS, paragraph 2, AMENDMENTS, the following changes are made.

Contract Extension

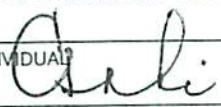
- 1) This agreement is being extended for the period from January 01, 2008 through June 30, 2009.

Changes in Contract Language

- 2) Changes are made to the Scope of Work per the attached Summary of Changes. Therefore, the Scope of Work is replaced by the attached Revised Scope of Work, dated March 6, 2008.
- 3) Attachment A, "ADHS/DBHS and ADES/RSA IGA Monitoring and Technical Support Annual Site Visits" is added to the Agreement.

Addition of Budgets

- 4) The current budgets, dated January 1, 2008 through December 31, 2008, are deleted in their entirety.
- 5) The budgets for the period January 1, 2008 through June 30, 2008, in the amounts of \$ 4,314,352.00 with a match amount of \$918,957.00 for VR Services and \$55,117.00 for ADHS/BHS Administrative Cost, are attached and added to this agreement.
- 6) The budgets for the period July 1, 2008 through June 30, 2009, in the amounts of \$ 8,628,704.00 with a match amount provided by the Contractor of \$1,837,914.00 for VR Services and \$99,640.00 ADHS/BHS Administrative Cost, are attached and added to this agreement.
5. In accordance with A.R.S. § 35-397, the Contractor certifies that the Contractor does not have scrutinized business operations in Iran.
In accordance with A.R.S. § 35-397, the Contractor certifies that the Contractor does not have scrutinized business operations in Sudan.
6. EXCEPT AS PROVIDED HEREIN, ALL TERMS AND CONDITIONS OF THE ORIGINAL CONTRACT AS HERETOFORE CHANGED AND/OR AMENDED REMAIN UNCHANGED AND IN FULL FORCE AND EFFECT. THE AMENDMENT SHALL BECOME EFFECTIVE ON THE DATE OF LAST SIGNATURE UNLESS OTHERWISE SPECIFIED HEREIN. BY SIGNING THIS FORM ON BEHALF OF THE CONTRACTOR, THE SIGNATORY CERTIFIES HE/SHE HAS THE AUTHORITY TO BIND THE CONTRACTOR TO THIS CONTRACT.

7. ARIZONA DEPARTMENT OF ECONOMIC SECURITY	5. NAME OF CONTRACTOR Arizona DEPARTMENT OF HEALTH SERVICES - Division of Behavioral Health Services
SIGNATURE OF AUTHORIZED INDIVIDUAL 	SIGNATURE OF AUTHORIZED INDIVIDUAL 
TYPED NAME Elizabeth G. Csaki, CPPB	TYPED NAME Ann M Froio
TITLE Professional Services Unit Manager	TITLE Chief Procurement Officer
DATE 12-19-08	DATE December 17, 2008

IN ACCORDANCE WITH ARS §11-952 THIS CONTRACT AMENDMENT HAS BEEN REVIEWED BY THE UNDERSIGNED WHO HAVE DETERMINED THAT THIS CONTRACT AMENDMENT IS IN APPROPRIATE FORM AND WITHIN THE POWERS AND AUTHORITY GRANTED TO EACH RESPECTIVE PUBLIC BODY.

ARIZONA ATTORNEY GENERAL'S OFFICE

By: 
Assistant Attorney General

Date: 12/19/08

COUNSEL FOR Arizona DEPARTMENT OF HEALTH SERVICES - Division of Behavioral Health Services

By: 
Public Agency Legal Counsel

Date: 12/17/08

Revised: 8/26/08

DE070206-001 Summary of Changes
November, 2008

1. The text of the signature page is changed to add citations from the Arizona Revised Statutes (A.R.S.) which authorize both parties to exercise their joint powers and statements that ADHS/DBHS is not conducting scrutinized business activities in either the Sudan or Iran, in accordance with A.R.S. §35-397.
2. Delete 1.1 in its entirety and replace with the following.
 - 1.1 This Agreement between the ADES/RSA and ADHS/DBHS is a third party cooperative arrangement for providing enhanced and structured vocational rehabilitation (VR) services to individuals with disabilities (hereafter referred to as "mutual clients") as defined by the Rehabilitation Act of 1973, as amended, and its implementing Regulations (34CFR 361.28).
3. Delete 3.1 and 3.2 in its entirety and replace with the following:
 - 3.1 ADES/RSA is authorized to administer the Vocational Rehabilitation program and provide vocational rehabilitation services pursuant to A.R.S. § 23-503 Duties and Powers of Vocational Rehabilitation Division (i.e. Administration) and the Rehabilitation Act of 1973, as amended, and its implementing regulations (34CFR 361.48).
 - 3.2 ADHS/DBHS has authority to contract for services specified in this Agreement in accordance with A.R.S. 36-3402, 36-3403, 36-3407, 36-550 et seq.; and 36-503.2.
4. Delete 4.1 in its entirety and replace with the following.
 - 4.1 The purposes of this IGA are to
 - 4.1.1 Enhance program delivery methods and provide customized employment services to individuals with psychiatric disabilities by:
 - a. Ensuring that Vocational Rehabilitation Counselors are specialized in the field of psychiatric disabilities.
 - b. Customizing the BHS program to ensure that eligibility decisions are made in an expedited manner. Modifying the sixty day eligibility requirement to a goal of thirty days.
 - c. Expanding and providing a continuum of services when transitioning from prevocational services, through vocational services, to non-time limited follow-along supports. Through service coordination between ADES/RSA and ADHS/DBHS, a structured statewide referral process is implemented to ensure the quickest and highest level of care provided to individuals with serious mental illness while adhering to evidenced bases practices.
 - d. Ensuring coordination, cooperation and collaboration efforts between ADHS/DBHS and ADES/RSA with two coordinator positions. One position from ADES/RSA and one from ADHS/DBHS where job duties mirror each other.
 - e. Serving as a framework for bringing together the resources of two systems, building upon existing efforts and facilitating a broad spectrum of joint State and local initiatives.
 - f. Increasing employment success and enhancing the ability of the target population to take their rightful places as participating members of the workforce and in their communities.
 - 4.1.2 Ensure the full inclusion of community partners in the service delivery, including Community Rehabilitation Providers, persons receiving services, advocates, family members, employers, training facilities and other pertinent stakeholders from communities
5. Delete 7.1, 7.2 and 7.3 in their entirety and replace with the following revised 7.1 and 7.2. Renummer the subsequent sections accordingly.
 - 7.1 Individuals served under this IGA(hereafter referred to as "mutual clients") shall be any person who is:
 - 7.1.1 Enrolled with the Regional Behavioral Health Authority (RBHA) or a Tribal Behavioral Health Authority (TBHA) as a person with serious mental illness by definition in Arizona Revised Statute (A.R.S. §36-550); and
 - 7.1.2 Applicants for, or recipients of, services from RSA.
 - 7.2 Clients who express their intent to work in their Individual Service Plan (ISP) will be referred to the VR program. Vocational Rehabilitation (VR) Program assists individuals with disabilities to achieve economic self-sufficiency through meaningful and sustained employment. VR eligibility shall be determined by VR counselors within thirty (30) days of a signed application based on the following eligibility criteria:
 - a. A determination that an individual has a physical or mental impairment,
 - b. The impairment constitutes or results in a substantial impediment to employment,

- c. A presumption that the applicant can benefit in terms of an employment outcome. Employment outcome means, with respect to an individual entering or retaining full-time or, if appropriate, part-time competitive employment, in the integrated labor market supported employment, or any other type of employment in an integrated setting (including self-employment, telecommuting, or business ownership) that is consistent with an individual's strengths, resources, priorities, concerns, abilities, capabilities, interests, and informed choice.
 - d. The individual requires vocational rehabilitation services to prepare for, enter into, engage in or retain gainful employment consistent with the applicant's strengths, resources, priorities, concerns, abilities, capabilities and informed choice.
 - e. Any eligible individual, including social security beneficiaries, must intend to achieve an employment outcome. The applicant's completion of the application process for vocational rehabilitation services is sufficient evidence of the individual's intent to achieve an employment outcome.
 - f. Social security beneficiaries under Title II and XVI of the Social Security Act are presumed eligible for VR services through ADES/RSA unless there is clear and convincing evidence that the applicant is incapable of benefiting in terms of an employment outcome from vocational rehabilitation services due to the severity of the applicant's disability.
6. 7.5 (previously 7.4) - delete the last sentence and replace with: A joint process for triaging referrals and ensuring seamless service delivery will be developed at the regional level and outlined in the regional collaborative Protocols written by each T/RBHA and respective ADES/RSA District, and approved by ADES/RSA and ADHS/DBHS.
 7. 8.2 - delete in its entirety and replace with: T/RBHAs are responsible for ensuring that each individual has an Individual Service Plan (ISP) that is centered around his/her employment and rehabilitation needs. Vocational choices, which may include any type of meaningful activity (whether directly targeted at paid employment acquisition or not) and plans to support those choices should prominently figure in all ISPs.
 8. 8.7 - the first sentence is deleted and replaced with: Co-location of services and a "one-stop" service delivery are vital to the success of the program and the success of the individuals served. A VR Counselor shall be assigned to each T/RBHA clinical program site. The VR Counselor must visit the clinical program site a minimum of one day per month.
 9. 8.8 - add: "Functional workspace" includes a private area for the VR Counselor to meet with clients, access to a telephone, and access to a computer. If computer access is not available, the T/RBHA shall ensure that the VR Counselor can use the computer of another staff person as needed.
 10. Add the following 8.9: All individuals within the BHS target population shall not only be offered Extended Supported Employment services, but shall be assumed to need ESE. Supported Employment and Extended Supported Employment shall be written into all IPEs. An ESE Coordination Form shall be filled out at the time the IPE is written.
 11. The phrase "for a minimum of 90 days" is deleted from 9.1g.
 12. To 9.5a,f,g, 10.4 and 11.4b, add: (refer to Attachment A for a full description of the IGA Technical Support and Monitoring process)
 13. To 9.5d, add: in conjunction with the RSA Finance and Contracts units.
 14. Add as 9.5h: Facilitate an annual Statewide SMI Specialty VR Counselor Meeting.
 15. In 12.1, replace "Division Chief for Clinical and Recovery Services" with "Employment and Rehabilitation Coordinator."
 16. In 12.1, delete "and the IGA committee."
 17. Delete 12.1(D)(1) in its entirety and replace with: Trainings provided under this IGA during the quarter including a summary of the training evaluations and number of attendees, as applicable.
 18. Delete 12.1(E) and (F) in their entirety.
 19. Add as 12.1(E) and add to the end of 13.3: A protocol for developing joint programs/projects shall be developed as funds are available.
 20. In 12.4 and 8.0 of the Terms and Conditions, replace "Clinical and Recovery Services Division Chief" with "Employment and Rehabilitation Coordinator." From 8.0, delete the phrase "and Employment Coordinator."
 21. In 13.1, change "prior to the end of the quarter" to "prior to the beginning of the quarter."
 22. Add to the last sentence in 13.4 the following: "except in situations where funding is transferred from one district to another for client services. In this situation, a written notification is sufficient."
 23. Section 13.8 is deleted in its entirety.
 24. Section 14.1 is deleted in its entirety and replaced with the following:
 14.1 ADHS/DBHS has made reasonable efforts to enter into a Business Associate Agreement with ADES/RSA for disclosure of mutual client and potential information, including protected health information. However, ADHS/DBHS and ADES/RSA have not entered into a business Associate

Agreement because ADES/RSA will not be able to meet all the requirements in the Business Associate Agreement. 45 CFR 164.504(e)(3)(ii) authorizes a governmental entity that is a covered entity (ADHS/DBHS) to disclose protected health information without a Business Associate Agreement to another governmental entity that is required by law to perform a function or activity on behalf of a covered entity or to provide a service described in the definition of business associate in 45 CFR 160.103 (ADES/RSA). 45 CFR 164.512 (a) authorizes disclosure by a covered entity (ADHS/DBHS) as required by law. The *Arnold v. Sarn* Joint Stipulation on Exit Criteria and Disengagement legally mandates ADHS/DBHS to make reasonable efforts to ensure that adequate supported employment and other appropriate vocational services for class members are funded and provided through ADES/RSA. ARS §36-503.02 (C) requires ADHS/DBHS to use funding reserved for individuals with Serious Mental Illness to provide vocational rehabilitation and other support services. ARS §36-3407 (6) requires ADHS/DBHS to contract for the provision of vocational rehabilitation services. Therefore, ADHS/DBHS, T/RBHAs, and T/RBHA providers may share verbal and written information with ADES/RSA regarding clients who are receiving Vocational Rehabilitation services as well as those clients who might be referred to the Vocational Rehabilitation program at a later date. This may include but is not limited to providing consumer referral packets, joint chart audits, and participation in clinical team staffings. The protected health information to be disclosed will be the minimum necessary to meet the legal mandate as implemented by the IGA. ADES/RSA and ADHS/DBHS shall comply with the provisions of the Arizona Administrative Code R6-4-405 for sharing client information with other agencies, individuals, or employers.

14.2 ADES/RSA will ensure protection of information disclosed to ADES/RSA by:

- 14.2.1 Maintaining confidentiality of Protected Health information (PHI);
- 14.2.2 Using or disclosing the PHI only as required by law or for the purpose for which the PHI was disclosed to the person.
- 14.2.3 Notifying ADHS/DBHS when the person becomes aware that PHI confidentiality has been breached;
- 14.2.4 Ensuring that all records containing PHI created by contractor, from or on behalf of the ADES/RSA will be retained for six years from the date of creation or the date when it was last in effect, whichever is later.

14.3 Disclosure from ADES/RSA to ADHS/DBHS will be the minimum necessary as needed for the purposes of this agreement, this may include:

Name and Current Contact Information

- Date of Birth
- Social Security Number
- ADES/RSA Eligibility determination
- ADES/RSA Order of Selection determination
- Assessment documents
- Psychological and vocational planning information, current
- Individualized Plan for Employment, current
- Progress reports, current
- ADES/RSA staff information, current
- Other information to the extent required to meet the purposes of this agreement

14.4 Disclosure from ADHS/DBHS to ADES/RSA will be the minimum necessary as needed for the purposes of this agreement, this may include:

- SMI Determination / 2nd Level Review
- Annual Assessment (Part E)
- Individual Service Plan
- Individual Service Plan Review/Update
- Progress Notes
- Medication Flow Sheet
- Psychiatric Evaluation
- Any relevant Vocational Assessment
- Copy of driver's license / State issued I.D. / Social Security Card
- SSA Award Letter
- Other information to the extent required to meet the purposes of this agreement

25. The CONTACT INFORMATION page is deleted in its entirety and replaced with the CONTACT INFORMATION page as attached.
26. Add the attached "ADHS/DBHS and ADES/RSA IGA Monitoring and Technical Support Annual Site Visits" as Attachment A.
27. Section 7.0 of the Terms and Conditions is deleted in its entirety and replaced with the following: "Should the State Legislature enter back into session and reduce the appropriations for any reason or if a lack of revenue reduces available funding for these goods or services, ADHS and/or ADES may take any of the following actions: a) Accept a decrease in services and or prices offered by the other party; or b) Cancel the contract."

ADHS/DBHS and ADES/RSA
IGA Monitoring and Technical Support Annual Site Visits
Produced by the ADHS/DBHS Office of the Deputy Director and the ADES/RSA Behavioral Health Project

Purpose:

- Assess and evaluate adherence to and effectiveness of the Joint Regional Collaborative Protocols
- Assess the relationship between the clinical team members and the Vocational Rehabilitation (VR) Counselor
- Ensure that the VR Counselor is provided with functional workspace at the Direct Service Sites that allows for one on one confidential appointments to take place
- Ensure that outreach and engagement in rehabilitation services is assertive and ongoing
- Ensure that the recovery values (practices and language) outlined in the IGA are upheld by all parties
- Identify clients who could benefit from the VR program who are currently not involved in program but possibly should be
- Assess the utilization of Supported Employment and Extended Supported Employment services
- Identify effective pre-vocational activities and vocational assessments
- Identify areas where clarification and technical support are needed and provide recommendations and on-site guidance to Direct Service Site administrators and front line staff

(Refer to the following sections in the IGA between ADES/RSA and ADHS/DBHS which reference the requirement for a joint program review: 9.5a, 9.5f, 9.5g, 10.4, & 11.4)

Involved Parties:

A separate IGA Monitoring and Technical Support Team is developed for each region. The team is made up of the following members:

- RBHA administrators (specifically members of the RBHA Rehabilitation/Vocational/Recovery Departments)
- ADHS/DBHS Employment and Rehab Coordinator
- ADHS/DBHS representatives (contingent on availability) identified and trained by the ADHS/DBHS Employment and Rehab Coordinator
- RSA Statewide BHS Coordinator
- RSA District Manager and Assistant District Manager

Team members are trained on the purpose of the review and how to use the review tools before participating.

Process:

1. All Direct Service Sites (case management sites/licensed outpatient clinics providing services to SMI adults) within the RBHA shall be visited by at least two members of the IGA Monitoring and Technical Support Team.
2. During the site visit, a team member must take a tour of the facility to view the identified work space available to the VR Counselor while on site and to make note of any vocational information posted in the lobby and other client areas.
3. During the site visit, team members will conduct a focus group with direct service staff (case managers, peer support specialists, employment specialists, clinical liaisons, etc.) using the focus group questionnaire found in the attached review tools.
4. Each Direct Service Site being reviewed will be provided with a list of clients who currently have an open VR case. From that list, team members will review 20 client charts using the attached chart review tool. If there are not at least 20 clients open with VR at a particular location, the site must pull additional charts to make up the difference. The charts they pull that are not open with VR, should be individuals who have prevocational or vocational goals, or are involved in some other type of rehabilitation program. The purpose of reviewing charts of individuals who are not open with VR is to identify how clients are being engaged in vocational services, identify other rehabilitation programs that clients are participating in outside of RSA programs, identify reasons that RSA referrals are low and possible individuals who could be utilizing RSA services.
5. Due to the relationship between ADES/RSA and ADHS/DBHS, RSA staff are considered vocational experts and are relied on to provide feedback regarding mutual clients who are active in the VR program, as well as clients who could benefit from the program and are not yet active.

6. Team members must also meet with the VR Counselors assigned to each of the Direct Service Sites. Team members will use the VR Counselor interview form included in the review tools. This meeting can occur at the VR office, or the VR Counselor may choose to meet the review team at the direct service site.
7. VR charts will not be reviewed by the monitoring team. However, specific questions related to the IGA will be included in the required chart reviews completed by each VR office supervisor. The information gathered in these reviews will be shared with the RSA BHS Statewide Coordinator, who will then communicate the findings to the monitoring team.

Debriefing:

1. Once the reviews are completed, the ADHS/DBHS Employment and Rehab Coordinator shall meet with the RBHA rehab representative(s) to read over all completed review tools and summarize the results.
2. The RSA BHS Statewide Coordinator shall meet separately with the RSA District Management to do the same.
3. The two groups will then meet together to discuss findings, deliberate possible solutions, and agree on recommendations and interventions.

Report Findings:

1. The RBHA rehab representative(s) are responsible for summarizing the findings and listing the recommendations in the format of their choice. A copy of this written document will be provided to ADHS/DBHS no later than 60 days after the completion of the reviews.
2. A meeting will then be scheduled with each Direct Service Site to provide them with the written document and verbally communicate the information. The ADHS/DBHS Employment and Rehab Coordinator, the RSA BHS Statewide Coordinator, the RSA District Manager, and the RBHA rehabilitation representative(s) shall all be present at this meeting. (It is the responsibility of the RBHA rehabilitation representative(s) to schedule and facilitate the meeting).

Follow-up:

1. It is solely the responsibility of the RBHA rehabilitation representative(s) to monitor the follow through of recommendations provided. ADHS/DBHS does not expect documentation of this monitoring. The follow through of recommendations will be formally addressed by the IGA Monitoring and Technical Support Team during the site visits the following year.
2. Likewise, it is solely the responsibility of the RSA District Manager to address follow through of recommendations for VR Counselors and supervisors. The follow through of recommendations will be formally addressed by the IGA Monitoring and Technical Support Team during the site visits the following year.
3. Members of the IGA Monitoring and Technical Support Team, including the ADHS/DBHS Employment and Rehab Coordinator and the RSA BHS Statewide Coordinator, are available to the Direct Service Site providers to assist in the completion of any and all recommendations.

INTERGOVERNMENTAL AGREEMENT ADHS/DBHS and ADES/RSA								
Budget Period: 7/1/08-6/30/09								
CONTRACT NUMBER: DE070206-001								
	MARICOPA COUNTY District 1	SOUTHERN ARIZONA District 2	NORTHERN ARIZONA District 3	YUMA/LA PAZ COUNTIES District 4	PINAL/GILA COUNTIES District 5	COCHISE/SAFFORD COUNTIES District 6	RSA ADMINISTRATION Central	TOTAL BUDGET
PERSONNEL SERVICES (Wages) (60)	1,793,114	455,330	107,355	108,984	81,475	65,679	37,891	2,649,828
ERE (Benefits) (61)	736,279	165,395	61,429	39,504	31,601	39,527	5,960	1,079,694
PROFESSIONAL & OUTSIDE SVCS (62)	4,774	98	-	135	913	42	11,359	17,320
IN-STATE TRAVEL (65)	51,324	4,848	6,850	4,203	3,425	18,010	40,486	129,147
ALL OTHER OPERATING (70)	370,000	45,312	19,265	48,939	30,740	30,461	103,132	647,849
CAPITAL OUTLAY (82)	-	-	-	-	-	-	1,235	1,235
EQUIPMENT ≥ 5000 (84)	-	-	-	-	-	-	-	-
EQUIPMENT ≤ 5000 (85)	23,987	12,454	3,367	1,338	7,876	141	52,583	101,747
SUB TOTAL	2,979,478	683,437	198,266	203,104	156,031	153,861	252,645	4,626,821
INDIRECT	174,285	39,971	11,577	11,897	9,078	9,009	14,436	270,253
CLIENT SERVICES	1,981,595	1,024,005	413,937	173,295	73,109	65,690	-	3,731,630
TOTAL	5,135,357	1,747,413	623,780	388,295	238,218	228,560	267,080	8,628,704
21.3%	1,093,831	372,199	132,865	82,707	50,740	48,683	56,888	1,837,914
78.7%	4,041,526	1,375,214	490,915	305,588	187,477	179,877	210,192	6,790,790
STATEWIDE SUMMARY & TRANSFER SCHEDULE FOR Calendar Year 2009								
	TOTAL RSA SHARE	TOTAL BHS SHARE	COMBINED TOTAL					
MARICOPA COUNTY	4,041,526	1,093,831	5,135,357					
SOUTHERN ARIZONA	1,375,214	372,199	1,747,413					
NORTHERN ARIZONA	490,915	132,865	623,780					
YUMA/LA PAZ COUNTIES	305,588	82,707	388,295					
PINAL/GILA COUNTIES	187,477	50,740	238,218					
RSA ADMINISTRATION	179,877	48,683	228,560					
	210,192	56,888	267,080					
STATEWIDE TOTAL	6,790,790	1,837,914	8,628,704					
Calendar Year								
7/1/2008 - 9/30/08			\$459,478.50					
10/1/2008-12/31/08			\$459,478.50					
1/1/2009-3/31/09			\$459,478.50					
4/1/2009-6/30/09			\$459,478.50					
			\$1,837,914.00					

INTERGOVERNMENTAL AGREEMENT ADHS/DBHS and ADES/RSA

Budget Period: 1/1/08-6/30/08

CONTRACT NUMBER: DE070206-001

BUDGET DESCRIPTION	MARICOPA COUNTY (VO/Region 1)	SOUTHERN ARIZONA (CPSA/Region 2)	NORTHERN ARIZONA (NARBHA/Region 3)	YUMA/LA PAZ COUNTIES (Cenpatico/Region 4)	PINAL/GILA COUNTIES (Cenpatico/Region 4)	RSA/BHS* ADMIN	TOTAL
FTE'S	51	16	5	3	3	7	85
P/S	643,445	238,061	119,910	44,502	48,296	103,753	1,197,967
ERE	232,040	86,194	53,789	19,543	18,819	35,499	445,884
P&O	498	132	3	-	33	11,586	12,252
TRAVEL	17,722	2,607	8,688	183	1,652	4,486	35,338
OCCUP	82,835	22,766	7,144	12,477	1,553	60	126,835
OOE	60,716	22,758	2,790	2,714	16,410	24,674	130,062
SUB TOTAL	1,037,256	372,518	192,323	79,419	86,763	180,058	1,948,338
INDIRECT	132,988	47,761	24,658	10,182	11,124	23,086	249,799
TOTAL	1,170,244	420,280	216,981	89,601	97,887	203,144	2,198,137
VR CLIENT SERVICES	1,050,000	400,000	150,000	50,000	50,000		1,700,000
EST. GRANT/PROGRAM DEV	110,000	115,384	40,043	150,788	- 1/		416,216
TOTAL	1,160,000	515,384	190,043	200,788	50,000	-	2,116,216
TOTAL BUDGET	2,330,244	935,664	407,024	290,389	147,887	203,144	4,314,352
FED @ 78.70%	1,833,902	736,367	320,328	228,536	116,387	159,874	3,395,395
BHS @21.30%	496,342	199,296	86,696	61,853	31,500	43,270	918,957
TOTAL	2,330,244	935,664	407,024	290,389	147,887	203,144	4,314,352

* See the attached ADHS/DBHS Administrative Cost

STATEWIDE SUMMARY & TRANSFER SCHEDULE FOR Calendar Year 2008

	TOTAL RSA SHARE	TOTAL BHS SHARE	COMBINED TOTAL
MARICOPA COUNTY	1,833,902	496,342	2,330,244
SOUTHERN ARIZONA	736,367	199,296	935,664
NORTHERN ARIZONA	320,328	86,696	407,024
YUMA/LA PAZ COUNTIES	228,536	61,853	290,389
PINAL/GILA COUNTIES	116,387	31,500	147,887
RSA ADMINISTRATION	159,874	43,270	203,144
STATEWIDE TOTAL	3,395,395	918,957	4,314,352

Calendar Year	2008	
1/1/2008 thru	3/31/2008 1ST QTR	459,478.50
4/1/2008 thru	6/30/2008 2ND QTR	459,478.50
		918,957.00

ADHS/DBHS Administrative Cost

DES Contract No. DE070206-001

July 1, 2008 - June 30, 2009

Personnel Services/ERE

	Salary	Effort	Annual Requested
Employment & Rehabilitation Coordinator, Grade 22, Unc	\$ 54,250	1.00	\$ 54,250
ERE @ 35%			\$ 18,988
Total Personnel Services/ERE			\$ 73,238
In-State Travel			\$ 5,000
Out of State Travel			\$ 1,000
Supplies			\$ 4,235
Equipment			\$ -
Indirect Costs (18.1% of PS/ERE)			\$ 13,257
ITS Direct Charges (3.48534%)			
ITS Direct Charges are charged against Personnel Services, ERE, Travel, Supplies, and Equipment			
			\$ 2,910.00
Total Budget			\$ 99,640.00

Please note:

Subsequent year costs will be approximately \$99,640

Indirect Cost & ITS Direct Rates vary from year to year.

ADHS/DBHS Administrative Cost

DES Contract No. DE070206-001

January 1, 2008 - June 30, 2008

Personnel Services/ERE

	Salary	Effort	Annual Requested
Employment & Rehabilitation Coordinator, Grade 22, Unc ERE @ 35%	\$ 27,125	1.00	\$ 27,125
			\$ 9,494
Total Personnel Services/ERE			\$ 36,619
In-State Travel			\$ 5,000
Out of State Travel			\$ 1,000
Supplies			\$ 4,235
Equipment			\$ -
Indirect Costs (18.1% of PS/ERE)			\$ 6,629
ITS Direct Charges (3.48534%)			
ITS Direct Charges are charged against Personnel Services, ERE, Travel, Supplies, and Equipment			
			\$ 1,634.00
Total Budget			\$ 55,117.00

Please note:

Subsequent year costs will be approximately \$99,640

Indirect Cost & ITS Direct Rates vary from year to year.